

**U.S. CORAL TRIANGLE INITIATIVE SUPPORT PROGRAM** 

# US CTI SUPPORT PROGRAM INTEGRATOR QUARTERLY REPORT: APRIL-JUNE 2010

**JULY 2010 (BANGKOK, THAILAND)** 







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**JULY 2010 (BANGKOK, THAILAND) DRAFT** 

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## **ACRONYMS**

BALANCED Building Actors and Leaders for Advancing Community Excellence in

Development (USAID/Washington project)

BFAR Bureau of Fisheries and Aquatic Resources of Philippines

BSSE Bismarck Solomon Sea Eco-region

CI Conservation International

CMWG Coordination Mechanism Working Group

CT6 Coral Triangle Countries (Indonesia, Malaysia, Philippines, Papua New Guinea,

Solomon Islands, Timor-Leste)

CTI Coral Triangle Initiative

CTSP Coral Triangle Support Partnership Project (USAID/Asia)

CRMP Coastal Resource Management Project (USAID former project)

CRM Coastal Resource Management

ECOGOV Environmental Governance (USAID/Philippines project)

EBFM Eco-system based Fisheries Management

FISH Fisheries Improved for Sustainable Harvest (USAID/Philippines project)

GDA Global Development Alliance

IEC Information, education and communication

IUU fishing Illegal, unreported, unregulated fishing

IR Intermediate Results

LRFFT Live Reef Fish Food Trade

LMMA Locally Managed Marine Area

LGU Local Government Unit

LMP League of Municipalities of the Philippines

M&E Monitoring and Evaluation

MMAF Ministry of Marine Affairs and Fisheries Republic of Indonesia

MOST Ministry of Science and Technology

MPA Marine Protected Area

NCC National Coordinating Committee

NCCC National CTI Coordinating Committee

NGO Non-government organization

NOAA National Oceanic and Atmospheric Administration

NPOA National Plan of Action

PCG Program Coordination Group

PEMSEA Program for the Environmental Management of the Seas of East Asia

PHE Population, Health and Environment

PI Program Integrator

PMP Performance Monitoring Plan

PNG Papua New Guinea

RDMA Regional Development Mission for Asia

RPOA Regional Plan of Action
SRU Strategic Resource Unit

SSME Sulu-Sulawesi Seas Marine Eco-region

TNC The Nature Conservancy

USG US Government

USAID United States Agency for International Development
US CTI United States Support to the Coral Triangle Initiative

USG United States Government

WWF World Wildlife Fund

## I. INTRODUCTION

#### I.I Background

The Coral Triangle Initiative (CTI) is a multilateral partnership founded on the commitment of the six Coral Triangle countries to safeguard coastal and marine resources and communities. Despite increased investment and efforts by governments and organizations to improve management, the condition of the resources continues to decline. Coral reefs and fisheries, the source of invaluable ecological and socioeconomic benefits, are being subjected to increasing threats from population growth in coastal areas, overfishing, and changes in oceanographic conditions, including increase in sea surface temperature and ocean acidification, resulting from climate change.

Regional commitment and cooperation is expected to catalyze, expand, and sustain national and local-level implementation efforts through the CTI Regional Plan of Action. Within the broad framework of the CTI Regional Plan of Action, the six countries have developed National Plans of Action, and are working together to identify and implement those actions that require regional cooperation. CTI thus encompasses a distinctively regional approach, building on country-driven priorities and actions.

#### **I.2 Program Overview**

The US CTI Support Program represents the US Government's commitment to support the efforts of the six Coral Triangle countries (CT6) Indonesia, Malaysia, Papua New Guinea, Philippines, Solomon Islands and Timor-Leste. The Program is composed of team members from USAID, the US State Department and the National Oceanic and Atmospheric Administration (NOAA), and projects funded by these entities.

USAID RDMA serves as the program lead and provides overall management of the program in coordination with USAID Washington D.C. and other key USAID missions in the Philippines and Indonesia, as well as the U.S. State Department. RDMA supports implementation through the Coral Triangle Support Partnership (CTSP) implemented by a consortium of NGOs composed of the World Wildlife Fund (WWF), The Nature Conservancy (TNC), and Conservation International (CI). The U.S. Department of State supports the CTI Regional Secretariat in Jakarta, Indonesia through a grant provided to the NGO Consortium and managed by TNC.

As part of the US commitment to CTI, RDMA also supports a US CTI Support Program Integrator (PI). The PI supports the US CTI Support Program by coordinating consolidated planning and reporting, facilitating adaptive management, and providing strategic technical support to the region. The overall objective of the PI is to facilitate a unified USG response to the CTI and regional exchange among all CTI stakeholders to assess status and needs and share lessons learned.

#### **I.3 Report Organization**

This Quarterly Report for the period of April through June 2010 presents progress achieved within the four tasks provided in the US CTI Support Program Integrator Year 2 Workplan. These task areas ensure that effective coordination strategies and mechanisms are in place to maximize the impact and facilitate the development and communication of a unified USG contribution to the Coral Triangle Initiative. The report also includes progress made towards achieving the intermediate results primarily under the Program Results Framework, Result 1: Regional and national platforms strengthened to catalyze and sustain integrated marine and coastal management in the Coral Triangle. Support to Results 2-4 will be addressed primarily through future grants support.

## 2. PROGRAM SUPPORT AND INTEGRATION

The PI provides coordination and integration support to USAID in its management of the US CTI Support Program, including CTSP, NOAA and the U.S. Department of State. The PI employs various strategies and mechanisms that maximizes impact and facilitates the development and communication of a unified USG contribution to the Coral Triangle Initiative. PI Program Support and Integration activities are outlined below under four task areas consistent with its original USAID Task Order.

#### 2.1 Task I: Coordination of US CTI Support Program and USG Activities in the Region

**Progress Update** In this quarter, the PI worked with the US CTI Support Program Team to strengthen its coordination of communication strategies through its facilitation of the annual Program Coordination Meeting. It also continued to fulfill its coordination role through facilitation of regular and ad-hoc technical and management meetings including bi-weekly Core Management Team calls and quarterly Program Coordination Group calls. In May, the PI facilitated and organized three back to back critical coordination meetings: the CTI Regional Priorities Workshop, the Coordination Mechanism Working Group Meeting which will be described under Task 2. and the US CTI Support Program Coordination Meeting.

The US CTI Support Program Coordination Workshop was held on May 24-26, 2010 also in Jakarta, Indonesia. The Work Shop was attended by four representatives from the PI team, three from NOAA, over 20 CTSP and Consortium representatives from Washington DC and elsewhere; and two USAID/Indonesia representatives. The Work Shop focused primarily on the program's regional activity programming and addressed the following objectives: highlight successes and challenges of the US CTI Support Program; develop Year 3 regional activities to feed into country-level planning; refine 2013 program outcomes and regional work streams for Years 4 and 5; integrate regional and national actions for the US CTI Support Program; improve coordination mechanisms for the Program; and prepare for the USAID mid-term evaluation.

From April to June 2009 the PI scheduled and facilitated four Core Team conference calls and one broader Program Coordination Group (PCG) conference call. The Core Team calls were attended by representatives from USAID RDMA, PI, CTSP, and NOAA while the broader PCG included representatives from USAID Indonesia, US Department of State and USAID Economic Growth and Trade (EGAT) Division. The items discussed and decisions made during these calls are outlined below.

On April 22, the Core Team reviewed progress on the paper being developed by the "What is Regional" Activity Team to I) provide a definition for what is regional and what is not 2) generate discussions at the regional priorities workshop and 3) contribute to the other RATs to improve outcomes from the exchange and support the implementation of regional governance structures. The Core Team also discussed the location and agenda for the upcoming US CTI Support Program Planning Meeting in the second to the third week of May 2010 and the upcoming trip to Papua New Guinea and the Solomon Islands.

On May 5, the Core Team reviewed the participants from the US CTI Support Program who would attend the CTI Regional Priorities Workshop in May. The team discussed the draft agreement for the establishment of the CTI Regional Secretariat which was being finalized and would be transmitted by

the State Department for review. The team decided that due to scheduling conflict, the trip to Papua New Guinea and the Solomon Islands would have to be set at a later date.

On the June 9 Core Management Team Call, the PI provided a summary of CTI Regional Priorities Workshop, a recap of the Coordination Mechanisms Working Group meeting, and the US CTI Support Program Coordination Meeting. USAID RDMA reminded the team members of the deadline for submission of work plans and informed the members about the Midterm Program Evaluation including team members and the planned schedule of evaluation activities.

On the June 23, Core Management Team Call, the MPA RAT lead reported the success of the Regional Exchange Workshop on *Designing and Operating MPA Networks and Systems* held on June 17-19, 2010. The workshop included 50 participants. The participants had a solid vision of needs, priorities and practical steps for their countries but did have challenges at approaching MPAs from a regional perspective. Outputs included a roadmap and plan of action for each country from now until the end of the year. The Core Team continued discussions on the TOR of the planned country coordinator and reviewed the revised agenda and final list of participants of the Program Management Meeting in Bangkok on June 27-29, 2010.

On April 28, the PI organized a broader Program Coordination Group (PCG) conference call that included representatives from the State Department, USAID Indonesia and USAID EGAT. The representative from the State Department reported that Program activities in Papua New Guinea do not reach US Embassy officers while PCG members requested information about the status of their CTI grant. CTSP reported in its new staffing and work planning developments as well as the construction of the CTI Secretariat building in Manado. NOAA reported new staffing developments and planned training workshops in July 2010. USAID Indonesia reported new staff developments and the launch of its new marine program in the next few months and requested for talking points for President Obama's visit in July. RDMA noted that USAID is moving forward with the administrative steps needed to undertake the US CTI Support Program Mid-term Evaluation that will begin in June 2010. RDMA requested that team members forward the name of one person from each organization that will serve as the point of contact and facilitate the evaluation team field visits.

#### **Challenges and Corrective Actions**

During the US CTI Support Program Coordination Meeting in May 2010, the PI noted that existing coordination capacity is insufficient to achieving current program plans. Coordination through focal points presumes that further coordination are in place and operational but are sometimes lacking. Coordination is the active effort of those coordinating with one other, not a passive process applied to groups. In the same meeting, it was noted that there is a perception that despite being copied on emails and other communications, the CTSP country teams are insufficiently in the loop on some activities such as the URI Coastal Adaptation to Climate Change Course and Leadership on Fisheries Management Course. A lack of clarity about who the various focal points on the Program are, an understanding of the various sensitivities inhibiting communication, and the protocols to allow optimal information sharing were also identified as key challenges. It was also noted that that the regional Programming activities developed by NOAA, CTSP and PI principals with USAID are "the PI Program" rather than a joint and integrated US CTI Support Program effort continues to limit broad ownership on a number of fronts.

Some of the recommendations to address these issues included improving coordination amongst the Core Management Team; increasing communications between Core Management Team and Country Teams; clarifying coordination with USAID bilateral missions; and reducing the territoriality from both

institutional and geographic perspectives. The work planning process and multiple product requirements were also identified as a considerable resource drain and needs to be addressed.

The PI recommended the need to revisit the schedule and format of the quarterly PCG calls in line with the growing number and frequency of the conference calls that the PI is expected to organize to support overall Program coordination as well as the activities of the Regional Action Teams. The PI will discuss this with other team members Program Management Meeting at the end of July 2010.

#### **Next Steps**

During the US CTI Support Program Coordination Meeting in May, the PI introduced the idea, discussed with the USAID COTR and CTSP and NOAA Program leads, of a joint resources person for each CT country to assist with Program liaison, information sharing, planning and operations in order to address the identified capacity gap and coordination burden on country teams and CT6 NCCs and agencies. NOAA gave full support to the idea while several members of the CTSP team felt it needed to further consider the idea in the CTSP Planning Workshop and in the US CTI Support Program Management Meeting in July.

In the same meeting, the US CTI Support Program team also committed to improving coordination through a number of targeted activities. These include updating and reconfirming current roles and responsibilities for all staff members, identify points of contact to streamline information sharing, and improve communications protocols. The Program management team with PI support will work with POCs from across the Program to develop a monthly summary brief capturing information about key decisions, events, upcoming activities, and other issues of importance.

The PI will also work with all team members and USAID RDMA to facilitate the submission of work plans at the end of August or the first week of September. Discussions on more cohesive set of activities will be undertaken at the management meeting in July to ensure that all three parties know the activities, where it is and who is responsible for them.

#### 2.2 Task 2: Coordination with non-USG Coral Triangle Programs

Progress Update Task 2 is designed to facilitate information sharing with non-USG programs to avoid duplication of efforts, leverage funding, and plan activities to achieve overall CTI goals and objectives defined in the CTI Regional Plan of Action (RPOA). This is to ensure that all CTI-related programs that are being developed by organizations such as the Asian Development Bank (ADB) with funds from the Global Environment Facility (GEF), and country-specific bilateral donor agencies such as Australia will align with each other. Over the reporting period the PI supported the implementation of two critical meetings: the CTI Regional Priorities Workshop and the Coordination Mechanisms Working Group. The meetings provided significant contributed to the forward momentum of the CTI through the CT6 joint identification of a subset of RPOA priorities and by developing draft agreements for the formalization of the CTI and its structures. In addition the PI supported a number of ad hoc and regular conference calls amongst CTI Partners and Bodies.

### Prioritized List of Actions from RPOA Goals

- I. GOAL I: Adopt a general "model" for sustainable management of seascapes.
- GOAL 2: Collaborate to develop a "common regional framework for legislation and policy" that would support EAFM
- 3. GOAL 2: Improve enforcement of illegal, unregulated and unreported fishing through greater collaboration.
- GOAL 3: Jointly establish overall goals, objectives, principles, and operational design elements for a CT Marine Protected Area System (CTMPAS)
- GOAL 3: Build capacity for effective management of the CTMPAS.
- GOAL 4: Identify most important and immediate adaptation measures that should be taken across all CT countries, based primarily on analyses using existing models.
- 7. GOAL 4: Complete and implement a region-wide Early Action Plan for Climate Change Adaptation and conduct capacity needs assessments and develop capacity programs on climate change adaptation measures
- 8. GOAL 5: Complete and implement region-wide Sea Turtles Conservation Action Plan
- 9. GOAL 5: Complete and implement region-wide Marine Mammals Conservation Action Plan.

The CTI Regional Priorities Workshop was held on May 17-19, 2010 in Jakarta, Indonesia. It was hosted by the interim Regional Secretariat and the Government of Indonesia with PI and CTSP support. The PI provided technical, logistical and operational support to the interim Secretariat including the provision of consultants to assist with the preparation and implementation of the Meeting. The Pl also supported the participation of CT6 representatives, facilitation at the event, and follow up reporting. The meeting was conducted to identify collective and regional activities towards achieving the CTI Regional Plan of Action (RPOA) goals, specifically priority RPOA early actions for implementation in 2010-2011. Sixty participants from 10 countries, including CT6 representatives and non-government partners, technical advisors and observers worked in groups addressing the five thematic goals of the Regional Plan of Action. These groups identified one or two priority actions for each goal under the Regional Plan, developed activity work plans to implement each action, and in plenary identified potential funding sources. Cross cutting themes were reviewed but only within this context. The groups presented their drafts for discussion in plenary sessions, after which they returned to complete their plans, which were then presented and finalized through consensus in plenary. As a result of the meeting, nine out of 37 RPOA actions were identified as priorities; plans for addressing Regional Priority Actions were developed and agreed through consensus; partner commitments for supporting Regional Priority Actions were confirmed; and next steps for planning and implementing work to complete Actions were outlined.

The CTI Coordination Mechanisms Working Group Meeting was held on May 20-21, 2010 in Jakarta, Indonesia, with 34 representatives from the CT6 and partner organizations in attendance. The meeting, which was supported by the PI and the Government of Australia, aimed to produce an agreement that would pave the way for the establishment of the permanent CTI Regional Secretariat. The PI supported various logistical and operational elements of the meeting including the participation of a number of CT6 representatives. As a result of the meeting, participants agreed to develop two separate agreement documents to set up the permanent CTI Regional Secretariat - a treaty to establish Secretariat and a Host Country Agreement that will cover issues such as taxation, immunities and privileges, all of which are provided by the Government of the host country.

On April 16, the PI facilitated a CTI Partner Call meeting with representatives from USAID RDMA, ADB and the Australian government to discuss the agenda and the list of participants for the CTI Regional Priorities Workshop and the CTI Coordination Mechanism Working Group meeting in May. The representative from the Australian noted that the Coordination Mechanism Working Group should revisit the CTI partner structure and partner coordination in response to a request by an Australian group seeking CTI partner status. USAID RDMA agreed that it would be good to have a discuss the definition of CTI partners.

On May 4, the PI facilitated a CTI Partner Call with the representatives from USAID RDMA, ADB and Australia on the status of the scheduled CTI Regional Priorities Workshop in May. USAID reported that it was providing technical assistance to the CTI through two technical experts. ADB representatives confirmed that it would differentiate its activities in support of the CTI depending on its partners (GEF/ADB, GEF/ADB/UNDP and GEF/ADB/FAO) and would clarify coordination and communication mechanisms. The number of participants and technical experts attending the workshop and their categorization was also discussed as well as the cost of logistical assistance. The PI confirmed it was funding accommodation for a five-person delegation from each CT6 country in addition to a two person delegation attending the CTI Regional Priorities Workshop. In addition the PI would cover meeting facilities, lunch and coffee breaks expenses. The Partners also provisionally agreed to hold an informal lunch meeting on Friday, 21 at 12pm at the Four Seasons Hotel.

On May 6, the PI facilitated a virtual meeting with the focal points of the Coral Triangle countries and partner development agencies. The attendees included representatives from the PI, the CTI Interim Regional Secretariat, CTSP and USAID RDMA. The meeting discussed the Regional Priorities

Workshop agenda, facilitators, participants and the roles of the various CT6 delegates and development partners.

On May 6, the PI facilitated another conference call with the representatives of USAID RDMA, US State Department, the Coral Triangle Support Partnership and the Government of Australia to review the draft agreement on the establishment of the permanent CTI Regional Secretariat as well as the various' partners support to the Secretariat.

On April 6-9, 2010, representatives from the PI together with USAID RDMA and CTSP leads, held meetings with the CTI National Coordinating Committee members in Malaysia to provide a briefing to incoming US Embassy CTI representatives on the US CTI Support Program, to coordinate with Malaysia CTI NCC Points of Contact on CTI priorities and support, to update the NCC on US CTI Support Program activities including Regional Activity Teams and to visit Malaysia's Kudat Banggi Priority Geography Site in East Sabah.

The PI also maintains an updated calendar of all CTI-related conferences, meetings, events, symposium and forums in the CTI Partner Portal. The calendar, which is updated weekly, helps ensure that partners' activities do not overlap and highlight windows of collaboration with other organizations. During the quarter, the PI facilitated four virtual partner conference calls. Outline of the discussions are stated below.

#### **Challenges and Corrective Actions**

There continues to be a number of challenges and opportunities related to resources capacity, and coordination among partners. An informal meeting of CTI Partners attending the Regional Priorities Coordination Workshop met on May 17, 2010 in Jakarta to discuss, among other things, how partners should engage with the CT6 and the CTI Interim Regional Secretariat. The PI noted that there was a significant opportunity to shift emphasis on and empower CT6 leadership in the process. The Partners present at the meeting agreed that success getting the CT6 and Partners to identify just a few regional actions to achieve, highlight and showcase to their leaders and constituencies and build upon.

#### **Next Steps**

A PI representative will attend the Inception Workshop at the Asian Development Bank in Manila on July 29-30, 2010 to discuss the newly-launched ADB Technical Assistance for Regional Cooperation on Knowledge Management, Policy, and Institutional Support to the CTI, a project that is co-financed by the ADB, the Global Environment Facility (GEF) and the Australian Agency for International Development (AusAID). The workshop will discuss how ADB's technical assistance should align its activities with the priorities identified at the CTI Regional Priorities Coordination Workshop held in Jakarta in May as well as each of the six coral triangle countries' (CT6) national plans of action. The ADB Knowledge management project will further information management system but requires coordination and guidance to avoid duplication of efforts with the US CTI Support Program.

In addition, the PI will focus on reviewing, modifying and updating the CTI Project Map/Database in the following quarter. The PI will work to incorporate new features into the Project Map to increase the ease of search, allow for the provision of greater project details and promote the eventual transfer of the tool to the CTI Secretariat.

#### 2.3 Task 3: Internal and External Communications

#### **Progress Update**

In this quarter, the PI developed and distributed new outreach materials for a broader set of audiences that include other USG agencies, national governments, NGOs, regional exchange participants and international donors. These materials include the US CTI Support Program Monthly Update and the Monthly Program Bullets

The Monthly Update highlights the outcomes achieved from program activities and shares progress made in working towards achieving results. It has a section that focuses on new resources uploaded and added in the CTI Partner Portal and a section on upcoming events organized by the US CTI Support Program and other partners. During the quarter, the PI produced three monthly updates, shown below.

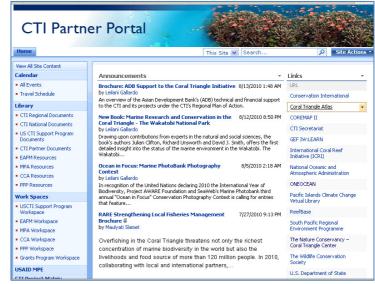


In this quarter, the PI began collecting and distributing the Monthly Bullets, an internal communication meant to capture and share information on key issues, activities and events covering the prior month as well as looking ahead. This was in response to a request by US CTI Support Program team members at the Program Coordination Meeting in Jakarta in May for a regular update and summary of key program related issues/events on a regular basis. The PI facilitated the preparation and circulation of the information. As part of this process, the PI reached out to Program Leads and points of contacts for

input. The Monthly Bullets are sent out every first week of every month, capturing events and milestones in the prior month and planned and future activities. Names of the contributors are provided in brackets at the end of every bullet so that readers know who to follow up with in case they are interested in getting more information.

The PI continues to develop and utilize the CTI Partner Portal as a platform to support information sharing, online real-time planning and collaboration among partners supporting the CTI. The Portal posts announcement and hosts work spaces for CTSP and NOAA and the four Regional Action Teams. A workspace for the Small Grants Program has also been set in place for future grantees to collaborate and share information.

The CTI Partner Portal has refurbished document library categorized by source. A section is allocated for official documents from the CTI Interim Regional Secretariat, National Coordinating Committees of each Coral Triangle country as well as documents from partners ADB, Government Australia and the members of the CTSP consortium such as Conservation International, the Nature Conservancy and the World Wildlife Fund. Scientific papers focusing on the four Regional Activity Teams (Marine Protected Areas, **Fisheries** Ecosystem Based Management, Climate Change



Adaptation and Public-Private Partnership) can also be found in the Portal's Document Library.

Aside from the new materials, the PI continued to coordinate the collection and submission of weekly program updates that contribute to the USAID Regional Environmental Office weekly update and the broader quarterly Eco-Asia Newsletter. The Program developed three weekly updates this quarter which served as the Program's contribution to the Eco-Asia newsletter. The US CTI Support Program fact sheet was also updated to highlight priority geographic areas and the achievements and headways made during the previous year.

#### **Challenges and Corrective Actions**

During the US CTI Support Program Coordination Meeting in May 2010, team members identified the need for a strategy for increasing coordination capacity and the need for concise and timely information while reducing the volume of information flow. A regular summary of notes would be helpful synthesizing information from various mechanisms for key events, decisions, activities, deadlines, communications etc. In addition, there is a perception issue with the CT6 and other stakeholder on what the US CTI Support Program is and how PI, CTSP and NOAA fit into that.

To address the request made at the meeting, the PI began collecting and distributing the Monthly Bullets, an internal communication meant to capture and share information on key issues, activities and events covering the prior month as well as looking ahead. This was in response to a request by US CTI Support Program team members at the Program Coordination Meeting in Jakarta in May for a regular update and summary of key program related issues/events on a regular basis.

#### **Next Steps**

The PI is working with other Program Team members to further implement a more cohesive communications mechanism and not to overburden points of contact with repeated requests for information. The PI, together with the CTSP Regional Communications Officer, has planned to hold a series of online communications workshop with country points of contacts to further streamline the process of collecting weekly update and monthly bullet contributions and ensure more timely and relevant contributions. At the same time, there is also a need to strengthen the presence and branding of the US CTI Support Program across the region especially in the popular and mass media.

#### 2.4 Task 4: USAID Administrative Support

#### **Progress Update**

The PI worked with USAID RDMA on a daily basis by providing regular and special reports, updates and analyses; scheduling, preparing and conducting meetings; preparing briefs, speeches, talking points and other materials; completing requests for information; and supporting US participation in CTI meetings, events and activities.

The PI supported USAID RDMA in organizing the US CTI Support Program Coordination Meeting in Jakarta on May 24-26, 2010. The Meeting addressed the following objectives: Highlight successes and challenges of the US CTI Support Program; Develop Year 3 regional activities to feed into country-level planning; Refine 2013 program outcomes and regional work streams for Years 4 and 5; Integrate regional and national actions for the US CTI Support Program; Improve coordination mechanisms for the Program; and Prepare for the USAID mid-term evaluation.

The Meeting was attended by four representatives from the PI; three NOAA; over twenty CTSP and Consortium representatives from Washington DC and elsewhere; and two USAID/Indonesia representatives. The Meeting made significant progress towards synchronizing planned regional activities incorporating the outcomes of the CTI Regional Priorities Coordination Workshop held May 17-19, 2010. This included defining remaining Year 2 activities and refining Regional Activity Team strategies. The meeting also identified challenges as well as solutions to improving internal and external coordination. The meeting provided the foundation for the follow-on development of the Year 3 CTSP, NOAA and the PI work plans. The development of those work plans will be an iterative and coordinated process between the Program entities up to their formal submission to USAID/RDMA in August/September 2010.

#### **Challenges and Corrective Actions**

The US CTI Support Program Coordination Meeting in Jakarta on May 24-26, 2010 design was revised from the original plan, due to RDMA travel restrictions, to focus primarily on the program's regional activity programming and strategies with the understanding a follow up Program Management Meeting would be conducted together with RDMA and the USAID Bilateral Missions at the last week of July.

US CTI Support Program team members had identified the work planning process and multiple product requirements as a considerable resource drain and suggested that one product could serve multiple purposes by starting with consolidated work plan rather than three separate plans. At the same time, team members had suggested that some integration of the USAID/RDMA and USAID/Indonesia work plan requirements can be pursued to lessen the burden.

#### **Next Steps**

The PI will organize and facilitate the US CTI Support Program Management Meeting on July 27-28, 2010 in Bangkok, Thailand to address key planning and management decisions following from the Jakarta May 24-26, 2010 Program Coordination Meeting. The meeting will review progress and results of the Program's Year 2 and status of planning efforts for Year 3; identify challenges in program coordination, communication, implementation and steps to resolve them; review and agree on process to finalize 5-year program results and outcomes; finalize plan for year 3 regional activities and synchronize with country activities including clarification of roles and responsibilities; finalize process for completing Program Consolidated Work Plan and responsibilities for achieving PMP targets in year 3; and address mid-term evaluation needs. The PI will also facilitate the coordination of the six-country US CTI Support Program Midterm Evaluation field visit from August 1-13 and provide the document library reference for the evaluation team.

## 3. REGIONAL SUPPORT AND TECHNICAL ASSISTANCE

Within the US CTI Support Program Team, the Program Integrator provides regional support and technical assistance to CTI bodies. This support is aligned to the US CTI Support Program Results Framework primarily under Result I. The PI works with the other Program Team member to develop and implement a number of Program strategies to achieve the four results set out in the framework.

Each result includes a set of more specific Intermediate Results (IRs) that when implemented, will roll up and contribute to meeting the broader result statement. In addition, USAID has provided a common set of program indicators that have been tagged to each IR and will be used in report on progress of the US CTI Support Program. US CTI Support Program goals have been aligned to match three of the five goals under the CTI RPOA. Two CTI goals, those addressing seascapes and endangered species are outside of the scope of the US CTI Support Program and therefore not specifically addressed within the Results Framework, although elements of these two goals will be supported at the country level. Progress made towards achieving intermediate results under the scope of the PI are discussed below.

## Result I - Regional and National Platforms Strengthened to Catalyze and Sustain Integrated Marine and Coastal Management in the Coral Triangle

Platforms are defined as multi-country associations of agencies, organizations, and/or individuals for the purpose of sharing experiences, information, and best practices and developing common approaches, action plans, and policies for addressing regional challenges and issues. Strengthening of a platform refers to improved organizational structure, clarification of platform goals and vision, development of platform organizational structure, development of platform agenda action items, training of platform members, or increased awareness of platform activities including workshops and forums. Under the US CTI Support Program, support to platforms may include the CTI bodies (Council of Ministers, Regional Secretariat, NCCs, Working Groups), academic or thematic institutes, thematic committees within inter-governmental or non-governmental bodies and organizations, among others.

The US CTI Support Program is assisting in the identification of key themes and directions for policy development, supporting the selection of appropriate national and regional institutions to strengthen and network for sustained advisory services on CTI issues, and aiding the CTI bodies in building skills and information networks that can apply science-based knowledge. The Program will work to expand stakeholder engagement to include private sector and communities in coastal resource management, and will help develop business plans with sustainable financing to achieve these regional goals. These

forms of technical assistance will lead to the five Intermediate Results (IRs) defined under Result, that when put together are expected to achieve the intended result.

#### **Progress Update and Next Steps**

#### 3.1 Intermediate Result 1.1: Policies developed and advanced

The Pl's policy development efforts have been undertaken as a function of several overarching programmatic activity areas including regional activity team strategy development; support to the implementation of the CTI Roadmap and development of learning networks. During the quarter, the Pl's support to the Regional Priorities Workshop assisted in the providing the CTI and Program thematic focus for policy harmonization, development and advancement in the 9 regional priority areas including EAFM, IUU fishing, MPA networks, and CC approaches. All Regional Activity Team strategies include policy development activities – many of which will be undertaken in the following two quarters.

#### 3.2 Intermediate Result 1.2: Institutional capacity and collaboration strengthened

The PI supported the CTI Secretariat and CT6 countries in collaboration with program partners in preparing and conducting the CTI Regional Priorities Coordination Workshop held on May 17-19, 2010 in Jakarta, Indonesia. Nine CTI Regional Plan of Action (RPOA) actions from a total of 37 were collectively identified as priority actions for implementation in 2010-2011 and summarized in a workshop report. With US and Australian support, the CTI Coordination Mechanism Working Group met consequently in Jakarta on May 20-21 and agreed to develop two international agreements that will lay the legal groundwork for the establishment of the CTI Regional Secretariat.

With the exception of the Life Reef Fish Food Trad (LRFFT), the US CTI Support Program's priorities are aligned with the CTI and many of the Program's planned regional activities were incorporated with the Workshop's respective actions activity plan. The model of regional activity team approach was also incorporated with a next step being the Secretariat to request NCC identification of national focal points for each of the respective priority Action/themes. USAID RDMA informed that it will continue to support LRFFT activities under the rubric of IUU activities.

The PI continues to provide background technical assistance to the Regional Secretariat through its consultant in Jakarta and through other avenues such as support in the Climate Change strategies, and support in the CTI Regional Priorities Workshop's facilitation, planning and implementation as well as covering operational expenses for meetings and accommodation for the CT6 delegations. The PI also supported the CMWG meeting on May 20-21, 2010 together with the Government of Australia. The meeting constituted critical progress in developing the agreements that will formalize the structures, governing principals, and financing of the permanent Secretariat. The signing of a formal Charter for the institutionalization of the permanent Secretariat by the CT6 and the host country agreement is anticipated to be undertaken at SOM 6 scheduled for September 2010. The PI anticipates continued support and collaboration with the Secretariat through the end of the year working to ensure there is concerted movement and action from decisions made at the Workshop.

#### 3.3 Intermediate Result 1.3: Learning and information networks strengthened

The PI initiated discussions with the Coral Triangle Center (CTC) located in Bali, Indonesia for the development of a grant that would support the creation of a formal CTI Learning Network. The CTC would work with technical experts from across the region to create a proposal for the design of a Learning Network Framework that would be formally approved and adopted in an upcoming SOM. The CTC would serve as one of the central nodes for the framework. The PI anticipates that a small grant will be fully developed and approved for this activity in the fourth quarter.

#### **Ecosystem Approach to Fisheries Management**

The US CTI Support Program will focus its efforts on building capacity in the ecosystem-based approach to fisheries which is still a new concept in fisheries management in the region.

At the regional level, approaches will include facilitating regional policy dialogues and exchange activities to build capacity and regional collaboration in ecosystem approaches to fisheries management in CT countries and in key regional supporting institutions. National level activities will focus on capacity development in priority geographies through strategic local Action Plans emerging from Regional Exchange activities and strengthening of national and regional institutions to support those Action Plans, as needed with small grants and mentoring.

The PI worked to support the IUU Fishing and LRFFT Regional Activity Teams in further developing their strategies and planning implementation of activities. The PI will support NOAA assessment activities in Indonesia and CT region in the fourth quarter to identify key policy harmonization and capacity building needs in addition to facilitating CT6 inclusion into the International Monitoring Control and Surveillance Systems Network. In addition the PI will support the LRFFT team's efforts to develop a common Regional EAFM Policy Framework in the fourth quarter. A set of activities will supports the process for regional EAFM policy harmonization by bringing the CT6 together to share their national policies and strategies, discuss the regional strategies already in use, and to develop a common understanding of EAFM principles that facilitate coordinated actions toward creating compatible legislation and polices. The US CTI Support Program is currently in the process of formulating a separate EAFM Regional Activity Team which will serve as the overarching umbrella for the IUU and LRFFT RATs.

#### EAFM applied in priority geographies

The PI commenced planning and logistical preparation for the attendance of 11 CT6 regional representatives to attend a University of Rhode Island Leadership in Fisheries Management three week course scheduled from August 16-September 3 in the US. The regional exchange activity will send fisheries management experts from CT6 academic and government institutions that have significant training or capacity building responsibilities as part of their jobs with the objective of further building their respective institutional fisheries competencies. Additional objectives of the course will be to forge a CT region-wide practitioner peer and learning network amongst participants that will extend to priority geographies.

#### Marine protected area management improved in CT countries

The US CTI Support Program will focus on facilitating that coordination of design and practice through supporting development of a regionally appropriate framework for coordinated MPA management; institutionalizing capacity to host good practices, training and advisory services for small, medium and cross boundary MPAs in the region; and developing relevant case studies and consensual knowledge that will ease debates and discussions to improve marine conditions.

#### MPA effectiveness improved in priority geographies

The PI, in collaboration with CTSP and NOAA, organized and facilitated the CTI Regional Exchange on Designing and Operating MPA Networks and Systems on June 17-19, 2010. The exchange was held in Phuket, Thailand in conjunction with the Second Asia Pacific Coral Reef Symposium. More than 25 participants from the Coral Triangle countries were joined by more than 15 experts and resource persons and CTI partners during the workshop. Participants were invited to the first day of the Symposium, and several stayed for the full program through 24 June to give presentations and attend sessions.

The purpose of the workshop was to initiate the design of a regional CTI MPA Network or System that addressed benefits that could not be achieved by individual countries. The workshop was structured simply with a mix of plenary sessions to present new information on MPA network design principles and criteria, case studies and results of break-out sessions; and several break-out session by either country (to apply, review or adapt design principles to their present context) or by topic to discuss individual regional network design elements or outputs

The workshop resulted in a collective review of the current principles, objectives, models and regional case studies of MPA networks; the practical application of common network principles through the parallel designs of national MPA network pilot sites in each country; the drafting of priority objectives for a CTI Regional MPA Network that could eventually be managed cooperatively by the Coral Triangle countries; and the identification of next steps towards collective efforts on MPAs and MPA networks. This event was the first opportunity for the six countries and their partners to convene for focused coordination and planning on the substantive content regarding Marine Protected Areas and MPA Networks under the CTI Regional Plan of Action.

Building on the results of the workshop, the PI will work with the MPA Regional Action Team and the CT6 countries to identify an MPA country focal point and an overall regional MPA coordinator. By August 2010, the team will then work with the identified focal points to conduct a preliminary national technical and policy review on the draft Proposal for a CTI Regional MPA Network. Following this, a draft decision memo for the approval of the CTI Senior Officials Meeting establishing the regional network will be developed and circulated for internal technical review within each of the CT6 by December 2010. When the review is completed, the draft will be circulated for comparison among all CT6 countries. By January 2011, the PI and the Regional Action team, working with the CT6 focal points and coordinator will form a CTI Regional MPA Network design team to propose the first CTI network sites based on SOM-approved objectives and work plans for year.

To support the activities mentioned above, the PI and the MPA Regional Action Team is planning a second exchange on MPA Management Effectiveness by January or February 2011, possibly in Sabah, Malaysia. The team will communicate with CT6 agencies/NGOs to get feedback (via a survey) on expected outcomes from and contributions to the workshop and determine to what extent each country has experience with or interest in developing an MPA management effectiveness assessment (MEA) tool. From August to November this year, the PI together with NOAA and CTSP leads, will work with each CT6 NCC to identify high priority capacity building that is needed to improve MPA effectiveness. The team will develop a way to support countries to pursue this and continue supporting countries that are already working MPA capacity building strategies such as Indonesia, Timor-Leste, Solomon and Solomon Islands. The workshop design will be circulated in October. The team will also facilitate the creation of a working group composed of NCC Focal point of each country on MPA management effectiveness By December 2010, the team will finalize workshop design, plan, participants and materials for workshop implementation.

#### Capacity to adapt to climate change improved in CT countries

The CTI has prioritized action to adapt to the impacts of climate change, such as fisheries shifts, sea level rise and coastal hazards. The PI proposes to address this critical and overwhelming need with two approaches, I) building capacity in the CT6 countries and at the regional level to adapt to the impacts of climate change, and 2) applying that capacity to immediately support coastal communities and the CT6 to become more resilient and able to resist or reduce the impacts of the changes ahead.

#### Climate adaptation strategies applied in priority geographies

USAID's US CTI Support Program facilitated and funded fourteen government officials, university faculty and professionals from the Coral Triangle countries completed a three-week course on *Coastal Adaptation to Climate Change* at the University of Rhode Island's Coastal Resources Center in

Narragansett, Rhode Island. The course ran from June 7-25, 2010 and provided the participants from Indonesia, Malaysia, Philippines, Papua New Guinea and the Solomon Islands with knowledge, skills and tools to assess the vulnerability of coastal communities to climate change and identify adaptation options. The participants received training in developing strategies to address coastal impacts of climate change. The CTI participants are expected to provide valuable support to their respective governments in developing their CTI early action plans for climate change adaption upon their return home.

The PI will support a regional activity Climate Change Adaptation Policy in the Coral Triangle which will be hosted by and conducted in Indonesia in October 2010 with US CTI Support Program assistance. This activity was proposed and confirmed at the May CTI Regional Priorities Workshop in May 2010 with the objective of producing a draft of a CTI Regional Early Action Plan for CCA to address the need for capacity building and immediate action, and would identify common policies among the CT6 for continued regional and global adaptation to climate change discussions.

The feedback from participants for the course was extremely positive with the utility and applicability of knowledge gained deemed as highly relevant to the CT6 context. As a result, the PI initiated discussions with URI in the development of a grant that would adapt the URI *Coastal Adaptation to Climate Change* course for roll out and institutionalization in the CTI region. The PI anticipates that a grant will be finalized and approved in the fourth quarter.

### Success Story: US Training Provides Coral Triangle Countries with Tools to Adapt to Climate Change

Fourteen government officials, university faculty and professionals from the Coral Triangle (CT6) countries completed a three-week course on Coastal Adaptation to Climate Change at the University of Rhode Island's Coastal Resources Center in Narragansett, Rhode Island. The course ran from June 7-25 and participants provided the Indonesia, Malaysia, Philippines, Papua New Guinea (PNG) and the Solomon Islands with knowledge, skills and tools to assess the vulnerability of coastal communities to climate change and identify adaptation options.



CTI participants with their classmates from Europe, Latin America and Africa at the Climate Change Adaptation Course

According to the participants, the highlights of the three-week activity were the practical training they received in developing strategies to address coastal impacts of climate change and the breadth of the training materials that gave them a solid scientific understanding of climate change and its impacts. These inspired them start climate change adaptation projects in their own countries. "The PNG Coral Triangle Initiative (CTI) team has truly learnt a lot and has motivated us to the next bar and that is a very big milestone for us," said Mr. Vagi Rei, the Manager Marine Ecosystem Management Branch in Papua New Guinea's Department of Environment & Conservation and one of the course participants. "We hope to make use of the course within our CTI program. I know that what we have learnt will be directly applied through our demonstration project," he added. The CTI participants committed to using the knowledge and



CTI participants participate in a lecture on shoreline and sand profile measurement

practical skills gained from the training to support their respective governments in developing CTI early action plans for climate change adaption. "We have learnt valuable tools and lessons to which I know will further our work in country and our CTI National Plan of Action (NPOA). We look forward to putting into use what we have learnt and also share with our CTI counterparts in the CT6 countries as well as national NGO partners," Solomon Island's participant Ms. Agnetha Vave-Karamui said. Ms Karamui is the Chief Conservation Officer at the Environment & Conservation Division of the Ministry of Environment, Conservation &

Meteorology in the Solomon Islands. She is working on implementing her country's CTI NPOA. USAID's US CTI Support Program facilitated and funded the countries' participation in the course and will continue to assist the participants in implementing their climate change adaptation action plans and organizing further courses in the region.

#### 3.4 Intermediate Result 1.4: Public and private sector partners engaged

During discussions with Malaysia's CTI National Coordinating Committee in April, the PI was requested to support of the hosting of the Second CTI Business Summit in 2011. At the suggestion of the Malaysian NCC, the PI reached out to the Asian Strategy and Leadership Institute (ASLI) to initiate discussion on the development of a grant to provide planning, implementation and follow up support for the event. In addition, the PI initiated the services of a consultant to support the CT6 countries' development of Public-Private Partnerships to showcase at the 2011 Summit. These activities are expected to be fully funded and launched within the fourth quarter.

## 4. WORKPLAN ACTIVITY AND STATUS TABLES

STATUS	ACTIVITY	Lead Organization	Individual Lead	Partner	Level	Relevant Indicator		FY	10	
						Indicator	Q1	Q2	Q3	Q4
	R1. Regional and national platforms strengthened to coastal management in the Coral Triangle	catalyze and	sustain int	tegrated	marine a	nd				
	IR 1.1 Policies developed and advanced									
Completed	Support meeting of CT6 country representatives to the UNFCCC to discuss climate change negotiations and impact on CT	PI	P. Collier	N/A	Region	PM5	•			
Completed	Provide scientific expert and information on climate change at SOM4 to help prepare CT6 government officials for COP15	PI	P. Collier	NOAA	Region	PM5	-			
Completed	Conduct post-COP15 strategic planning exercise with CT6 countries to develop specific regional activities related to RPOA Goal 4	PI	P. Collier	NOAA	Region	PM5				
Completed	Develop a strategy to address priority issues of regional concern for conducting policy forums in consultation with CTI bodies	PI	K. Courtney	WWC, NOAA	Region	PM5			•	
Delayed	Develop policy briefs on priority policy issues of regional concern in consultation with regional experts and CTI bodies	PI	K. Courtney	NOAA	Region	PM5				•
Delayed	Conduct regional policy forum at Woodrow Wilson Center on one policy issues of regional concern	PI	K. Courtney	WWC, NOAA	Region	PM5-1				•
	IR1.2 Institutional capacity and collaboration strengthened									
Completed	Provide technical support and capacity building to permanent CTI Regional Secretariat	PI	S. Tighe	CTSP	Region	PM4				•
Delayed	Conduct organizational and training needs assessment for permanent CTI Regional Secretariat with CTI bodies and partners	PI	S. Tighe	CTSP	Region	PM4				•

STATUS	ACTIVITY	Lead Organization	Individual Lead	Partner	Level	Relevant	FY10				
3111133					20001	Indicator	Q1	Q2	Q3	Q4	
Completed	Conduct Development Partners Meeting as requested by USAID	PI	P. Collier	CTSP	Region	PM4			•		
Ongoing	Compile and analyze project mapping information from CTI partners	PI	P. Collier	CTSP	Region	PM4	•		•		
	IR1.3 Learning and information networks strengthened										
Completed	Select priority themes and opportunities Regional Exchanges in consultation with CTI national and regional CTI bodies	PI	P. Collier	CTSP	Region	PM4	•				
Ongoing	Identify and establish agreements with organizations to serve as Learning Network Resource Organizations for Regional Exchanges	PI	P. Collier	CTSP	Region	PM4	•				
Pending	Conduct the regional exchange activity on live reef fish trade	PI	S. Tighe	NOAA, CTSP	Region	PM4			•	•	
Pending	Conduct the regional exchange activity on fisheries enforcement	PI	S. Tighe	NOAA, CTSP	Region	PM4			•	•	
Completed	Conduct the regional exchange activity on marine protected area management	PI	S. Tighe	NOAA, CTSP	Region	PM4			•	•	
Pending	Conduct the regional exchange activity on climate change adaptation	PI	S. Tighe	NOAA, CTSP	Region	PM4			•	•	
Ongoing	Support networking of regional exchange participants to continue sharing lessons learned	PI	S. Tighe	CTSP	Region	PM4			•	•	
Pending	Provide small grants to Resource Organizations to support regional exchange activities and support local implementation	PI	P. Collier	CTSP	Region	PM4					
Pending	Monitor and evaluate implementation of grants to capture and report results achieved	PI	DCOP	CTSP	Region	PM4-100		•	•		
	IR1.4 Public and private sector partners engaged										
Completed	Launch efforts to engage public and private sectors through a	PI	C.	CTSP	Region	PM6		-			

STATUS	ACTIVITY	Lead Organization	Individual Lead	Partner	Level	Relevant	FY10				
						Indicator	Q1	Q2	Q3	Q4	
	regional learning and planning exchange at the CTI Business Summit		Macpherson								
Completed	Identify existing and potential linkages between public and private sectors	PI	C. Macpherson	CTSP	Region	PM6		•			
Ongoing	Form public-private sector strategy development team with CTI bodies and partners	PI	C. Macpherson	CTSP	Region	PM6					
Ongoing	Develop public-private sector strategy including GDA, recognition program, regional, and public awareness campaign	PI	C. Macpherson	CTSP	Region	PM6		•			
Ongoing	Recruit initial partners to the Public-Private Sector Program	PI	C. Macpherson	CTSP	Region	PM6					
Ongoing	Launch public-private sector program with partners in conjunction with key event	PI	C. Macpherson	CTSP	Region	PM6-3					
	R2. Ecosystem approach to fisheries management improved in CT countries										
	IR2.4 EAFM applied in priority geographies										
Ongoing	Support resources organizations and exchange participants in applying lessons from regional exchanges through technical assistance and small grants	PI	S. Tighe	CTSP	CT6 Countries	PM2			•	•	
	R3. Marine protected area management improved in CT countries										
	IR3.3 MPA effectiveness improved in priority geographies										
Ongoing	Support resources organizations and exchange participants in applying lessons from regional exchanges through technical assistance and small grants	PI	S. Tighe	CTSP	CT6 Countries	PM1			•	•	
	R4. Capacity to adapt to climate change improved in CT countries										

STATUS	ACTIVITY	Lead Organization	Individual Lead	Partner	Level	Relevant					
						Indicator	Q1	Q2	Q3	Q4	
	IR4.2 Climate adaptation strategies applied in priority geographies										
Ongoing	Support resources organizations and exchange participants in applying lessons from regional exchanges through technical assistance and small grants	PI	S. Tighe	CTSP	CT6 Countries	PM5				•	